United Nations ST/AI/2006/3/Rev.1

Secretariat

11 January 2010

Administrative instruction

Staff selection system

Contents

Section		Page
1.	Definitions	2
2.	General provisions	4
3.	Scope	4
4.	Compendium of vacancies — preparation of evaluation criteria	6
5.	Eligibility requirements	7
6.	Applications	9
7.	Consideration and selection	10
8.	Central review bodies	11
9.	Decision	11
10.	Notification and implementation of the decision	13
11.	Placement authority outside the normal process	13
12.	Final provisions	14
Annexes		
I.	Responsibilities of the head of department/office	15
II.	Responsibilities of the programme manager	17
III.	Responsibilities of the staff member/applicant	19
IV.	Responsibilities of the Office of Human Resources Management, executive offices and local personnel offices	20

10-20657 (E) 190110

Please recycle

The Under-Secretary-General for Management, pursuant to paragraph 4.2 of Secretary-General's bulletin ST/SGB/2009/4 and for purposes of aligning the instruction with the provisional Staff Rules that came into effect on 1 July 2009 (ST/SGB/2009/7), as well as to reflect the applicability of the procedures set out in Secretary-General's bulletin ST/SGB/2009/2 on the Senior Review Group for appointments and promotions to posts at the D-2 level, amends the definition of "internal candidate" in section 1, amends section 9.1 to clarify that under ST/SGB/2005/7 on the designation of staff members performing significant functions in the management of financial, human and physical resources, approval is also required for posts that involve significant functions in the management of information and communications technology, deletes section 12 on transitional measures and amends sections 2.2, 3.1, 3.2, 5.4, 5.5, 5.6, 7.1, 7.3, 7.7, 8, 9.1, 9.2, 9.3, 9.4, 10.1, 11.1 and 13, paragraph 1 of annex I and paragraph 3 of annex II, as set out in the present instruction.

Effective immediately, the present instruction supersedes administrative instruction ST/AI/2006/3 and Amend.1.

Section 1
Definitions

The following definitions apply for the purposes of the present instruction:

Anticipated vacancies: vacancies relating to posts due to become available in the next six to nine months, such as on the retirement of the incumbent or the completion of the maximum post occupancy period.

Central review bodies: joint bodies established under staff rule 4.15 to approve evaluation criteria and to ensure that candidates have been evaluated on the basis of such pre-approved evaluation criteria and/or that the applicable procedures have been followed.

Documented record: record consisting of written, printed or electronic material that provides information or evidence.

Evaluation criteria: criteria used for the evaluation of candidates for a particular position after approval by a central review body. Evaluation criteria must be objective and related to the functions of the post and must reflect the relevant competencies.

Generic job profile: classified standard job description that encompasses a large group of related jobs for which major characteristics of the job are similar in duties and responsibilities, education, work experience, technical skills and essential core competencies.

Geographic status: status given to staff in the Professional category and above on initial recruitment for one year or longer against a post subject to "equitable geographical distribution" and to the application of the system of desirable ranges, namely, a regular budget post in the Secretariat at the Professional level or above (except language posts up to P-5). All successful candidates in a national competitive recruitment examination also receive "geographic status". Once geographic status has been given, it remains throughout the period of service of the staff member, regardless of the nature of the post or functions to which the staff member may subsequently be assigned.

Head of department/office: official appointed to head a department, office, regional commission or other major organizational unit of the Secretariat who is directly accountable to the Secretary-General in the exercise of the functions set out in section 5 of ST/SGB/1997/5 (as amended by ST/SGB/2002/11).[1]

Immediate vacancies: vacancies relating to posts that have become available unexpectedly, such as, upon separation from service in cases other than retirement or the selection of the incumbent for other functions.

Internal candidates: staff members currently serving under a fixed-term, probationary or permanent appointment who have been recruited after a competitive examination under staff rule 4.16 or upon the advice of a Secretariat joint body under staff rule 4.15. Staff whose appointment is limited to service in a particular department or office are not considered internal candidates.

Lateral move: move to a different position at the same level for a duration of at least one year. The new position may be in the same or a different department or office, in the same or a different duty station and in the same or a different occupational group. Inter-agency loans or other movements to and from other organizations of the United Nations common system are recognized as "lateral moves". There will be a lateral move when a staff member assumes a new position in another department or office, in another duty station, in another occupational group or in another organization of the United Nations common system. Within the same department or office, a lateral move will normally involve a change of supervisor. When the supervisor remains the same, there will be a lateral move if the responsibilities are substantially different, for example, if there is a different area of responsibilities or a change in the departments/offices serviced by the staff member. Mission detail, or other temporary assignments of at least three months but less than one year with or without special post allowance (SPA), shall also qualify as a lateral move when the cumulative duration of such assignments reaches one year.

Occupational networks: a grouping of departments/offices with closely linked mandates or programmes and a common interest in collaborating in areas such as human resources planning, staff development and mobility.

Post occupancy period: period of time, that a staff member may serve in a particular post, as determined in accordance with section 4.2 (d) below.

Programme manager: official within a department/office who is responsible for assisting the head of the department/office in ensuring the delivery of mandated activities by effectively and efficiently managing staff and resources placed under his or her supervision and for discharging the other functions listed in section 6 of ST/SGB/1997/5 (as amended by ST/SGB/2002/11).

Roster: list of candidates who have been endorsed by a central review body for a particular vacancy but not selected for it, and who have indicated an interest in being considered for selection for a future vacancy with similar functions at the same level. Roster candidates may be selected without referral to a central review body. The roster is valid for one year.

---

[1] As may be replaced by a new bulletin on the subject.

Temporarily vacant post: post blocked for a specific period of time for the return of a staff member on mission detail, special leave, secondment, temporary assignment or loan.

Vacant post: post approved for one year or longer that is not blocked for the return of a staff member on mission detail, special leave, secondment, temporary assignment or loan.

Section 2
General provisions

2.1 The present instruction establishes a staff selection system (the "system"), which integrates the recruitment, placement, promotion and mobility of staff.

2.2 All staff, up to and including those at the D-2 level, are expected to move periodically to new functions throughout their careers. To facilitate and regulate mobility, the system provides for the circulation of all vacancies and anticipated mission needs through a compendium,[2] defines maximum periods of occupancy of posts,[3] requires that vacancies up to and including those for posts at the D-1 level be made available in the first instance for lateral moves of eligible staff before other candidates may be considered for selection[4] and specifies the lateral mobility requirement applicable before a staff member may be promoted to the P-5 level.[5]

2.3 Selection decisions are made by the head of department/office when the central review body is satisfied that the evaluation criteria have been properly applied and that the applicable procedures were followed. If a list of qualified candidates has been approved, the head of department/office may select any one of those candidates for the advertised vacancy, subject to the provisions contained in section 9.2 below. The other candidates shall be placed on a roster of pre-approved candidates from which they may be considered for future vacancies with similar functions.

2.4 Heads of departments/offices retain the authority to transfer staff members within their departments or offices to vacant posts at the same level.

2.5 This instruction sets out the procedures applicable from the beginning to the end of the staff selection process. For ease of reference, the responsibilities of those concerned are summarized in the annexes, focusing on the head of department/office (annex I), the programme manager (annex II), the staff member/applicant (annex III) and the Office of Human Resources Management (OHRM), executive offices and local personnel offices (annex IV).

Section 3
Scope

3.1 The system shall apply to staff members to whom the Organization has granted or proposes to grant an appointment of one year or longer under the Staff Rules. The process leading to appointment or promotion to the D-2 level shall be governed by the provisions of the present instruction. For positions at the D-2 level, the functions

[2] See section 4 below.
[3] See section 4.2 (d) below.
[4] See section 7.1 below.
[5] See section 5.2 below.

normally discharged by a central review body[6] shall be discharged by the Senior Review Group,[7] prior to selection by the Secretary-General.

3.2 The system shall not apply to the following:

(a) Appointment of staff selected through a competitive examination under staff rule 4.16, in accordance with the principle that staff are recruited exclusively through competitive examination at the P-1 and P-2 levels and normally through competitive examination at the P-3 level;

(b) Movement of staff subsequent to recruitment under the provisions of the administrative instruction on managed reassignment for junior Professionals;[8]

(c) Movement during the first five years of service of staff serving against a P-2 or P-3 language post who are subject to the provisions of the administrative instruction setting out special conditions for recruitment or placement of candidates successful in a competitive examination for posts requiring special language skills;[9]

(d) Recruitment of staff from the General Service and related categories to the Professional category;[10]

(e) Appointment at the entry level and promotion of staff in the General Service and related categories up to the G-4, TC-3 and S-2 levels;

(f) Appointment and movement of staff selected for field mission posts and the appointment of Professional staff for mission replacement posts when the temporary vacancy resulting from the mission detail cannot be filled through temporary reassignment from within the office;

(g) Appointment of staff selected to serve in the Executive Office of the Secretary-General or to serve as special envoys of the Secretary-General;

(h) Movement of staff previously appointed in accordance with staff rules 4.15 or 4.16 who have agreed to participate in voluntary reassignment programmes. The Assistant Secretary-General for Human Resources Management shall decide on the reassignment of each staff member, without reference to a central review body. The programmes, aiming to stimulate mobility of staff, are strictly voluntary. Such movement shall be limited to incumbents of posts approved for inclusion in a voluntary lateral reassignment programme and shall not affect the application of the normal rules governing promotion or selection of staff for vacant posts.

3.3 Heads of departments/offices who have been delegated authority to appoint and promote staff for service limited to the entity concerned are encouraged to opt for the full application of the new system for upcoming vacancies, in which case the appointment of the individual selected as a result would not, or would no longer be,

---

[6] ST/SGB/2002/6, as may be amended or replaced by a bulletin on the same subject.

[7] ST/SGB/2009/2.

[8] ST/AI/2001/7, as may be amended or replaced by a new instruction on the same subject.

[9] ST/AI/2000/1 (amended by ST/AI/2003/1), as may be replaced by a new instruction on the same subject.

[10] ST/AI/2003/7 (amended by ST/AI/2005/9), as may be replaced by a new instruction on the same subject.

limited to service with the entity concerned.[11] Should the head of department/office exercise this option, the case would be considered by a Secretariat central review body and would be referred to the Secretary-General for decision if the central review body found that the evaluation criteria had not been properly applied and/or that the applicable procedures had not been followed.

Section 4
Compendium of vacancies — preparation of evaluation criteria

4.1 A compendium of vacancies shall be issued to encourage mobility of staff and to inform staff members and outside candidates of immediate and anticipated vacancies as well as of anticipated field mission needs. The compendium shall be published electronically and updated daily in its electronic version. It shall also be issued once a month in print form.

4.2 Posts approved for one year or longer shall be included in the compendium when:

(a) A new post is established or an existing post is reclassified upwards;

(b) The incumbent separates from service;

(c) The incumbent is selected for other functions under the provisions of this instruction or as a result of a lateral reassignment by the head of department/office within that department or office;

(d) The incumbent has completed the maximum period of occupancy of the post, which will generally be five years for posts up to and including the P-5 level and six years for posts above that level. Longer or shorter maximum periods may be set by the Assistant Secretary-General for Human Resources Management for specific posts, in consultation with the head of the department/office concerned. Longer periods may be appropriate when the potential for mobility is severely limited due to the nature of the functions or the scarcity of posts.

4.3 The programme manager shall be responsible for promptly requesting the inclusion of immediate or anticipated vacancies in the compendium, with the assistance of the executive or local personnel office. The vacancy announcement shall include the qualifications, skills and competencies required and reflect the classified functions of the post, using to the greatest possible extent the database of generic job profiles maintained by OHRM. If no generic job profile is available, a previously classified individual job description may be used unless the functions have changed significantly, in which case the programme manager must obtain a new classification before requesting inclusion of the vacancy in the compendium.

4.4 At the same time as he or she prepares the vacancy announcement, the programme manager shall prepare for subsequent review by the appropriate central review body the criteria to be used in evaluating candidates unless a central review body has previously approved the evaluation criteria for a position with similar functions at the same level. The evaluation criteria must be objective and related to the functions of the post and must reflect the relevant competencies.

[11] This would not necessarily, however, confer geographic status on the staff members concerned, as such status results from initial recruitment after successfully passing a competitive examination (other than a language examination) or from initial appointment for one year or longer to a post subject to the application of the system of desirable ranges.

4.5 Each vacancy shall indicate the date of posting and specify a deadline by which all applications must be received. The deadline for vacancies at the Professional level and above shall normally be 60 calendar days after posting, unless, as may be done for particular cases of unanticipated vacancies, OHRM has exceptionally approved a 30-day deadline. The deadline for vacancies in the General Service and related categories shall normally be 30 calendar days after posting, unless it has been established to the satisfaction of OHRM or the local personnel office that there are no suitable internal candidates at the duty station, in which case the deadline shall be 60 calendar days after posting. Staff members are encouraged to submit their applications as early as possible, because staff fulfilling the eligibility requirements set out in section 5.4 shall be considered 15 calendar days after posting, and those fulfilling the eligibility requirements set out in section 5.5 shall be considered 30 calendar days after posting.

4.6 The mobility requirement pertaining to post occupancy limits during the period of service of staff representatives shall be deferred for the Chair/President/Executive Secretary of the executive body of a staff association, whether on full or part-time release, as well as for every staff representative on full-time release for at least one year, subject to the maximum limit set by the General Assembly on full or part-time continuous release. Such staff representatives will be considered to have made one lateral move, provided they have served for at least one year.

Section 5
Eligibility requirements

5.1 Eligibility requirements regarding time-in-grade or time-in-post that were formerly in use shall no longer be applicable. However, experience, knowledge and institutional memory relevant to the functions must be considered as the personal contribution of the candidate to the achievement of the goals of the Organization and as such are an important element of the selection process.

5.2 Staff members shall not be eligible to be considered for promotion to posts more than one level higher than their personal grade.

5.3 Staff members in the Professional category shall have at least two prior lateral moves, which may take place at any level in that category, before being eligible to be considered for promotion to the P-5 level, subject to the following provisions:

(a) In order to meet the General Assembly's concern about high vacancy rates in some regional commissions and duty stations, particularly those in developing countries, the requirement shall be reduced to one lateral move when a staff member has served in the Professional category in Nairobi or a regional economic commission other than the Economic Commission for Europe for one year or longer, or when a staff member is applying for a P-5 position at those duty stations from another duty station;

(b) Staff recruited at the P-4 level shall become eligible for promotion to P-5 after one lateral move at the P-4 level;

(c) Cumulative service for a total period of one year or longer on mission or other temporary assignment with or without SPA shall also qualify as one lateral move.

Eligibility for a lateral move at the 15-day mark

5.4 The following staff members up to and including at the D-1 level shall be eligible to be considered for a lateral move at the 15-day mark:

(a) Internal candidates whose appointment is not limited to service with a particular office may be considered for any vacancy at their level. Staff whose appointment is limited to service with a particular office may be considered for vacancies at their level in that office only. Staff in the Professional category and above who do not have geographic status may be considered for vacancies at their level at the 15-day mark in respect of posts that are not subject to geographical distribution;

(b) In addition, and in order to recognize and encourage mission service, the following candidates shall be eligible to be considered at the 15-day mark:

(i) Internal candidates on mission detail who have been in receipt of an SPA for at least one year in a field mission and who meet the requirements of section 5.3, where applicable, may apply for a move to a non-mission post at the SPA level and be promoted to that level if selected, except for staff having received an SPA from the General Service to the Professional category;

(ii) Field Service officers at the FS-6 and FS-7 levels may be considered for a move to the P-3 and P-4 levels respectively, provided that they have served for one year at their current level and meet the academic qualifications required for an appointment to the Professional category.

Eligibility to be considered at the 30-day mark

5.5 The following staff members applying for posts up to and including at the D-1 level shall be eligible to be considered at the 30-day mark:

(a) For promotion against a post one level higher than the level of the staff member:

(i) Internal candidates whose appointment is not limited to service with a particular office may be considered for any post in the Secretariat;

(ii) Staff whose appointment is limited to service with a particular office may be considered for a higher-level post in that office only;

(iii) Staff in the Professional category and above who do not have geographic status may be considered for higher-level posts that are not subject to geographical distribution;

(iv) Field Service officers at the FS-6 and FS-7 levels may be considered for a post at the P-4 and P-5 levels respectively, provided they have served for one year at their current level and meet the academic qualifications required for an appointment to the Professional category and the requirements of section 5.3, where applicable;

(b) For promotion against a post one level higher than the level of the staff member, or appointment at the same level of staff who are not internal candidates but fall in the following categories:

(i) Staff appointed at the P-3 level under the Staff Rules to serve against peacekeeping support account posts at Headquarters or in peacekeeping or

other field missions may be considered for vacancies at the P-4 level; staff appointed at the P-4 level under the same conditions may be considered for vacancies at the P-4 or P-5 levels, provided in each case that they have a continuous period of 12 months of service;

(ii) Women staff members who hold a current appointment of any type at the P-3 level may be considered for vacancies at the P-4 level; women staff members holding a current appointment of any type at the P-4 level may be considered for vacancies at the P-4 or P-5 level, provided in each case that they have been in the service of the Organization for a cumulative period of at least one year prior to application and meet the requirements of section 5.3, where applicable. The same shall apply to women serving with the other entities of the United Nations common system.

Consideration at the 60-day mark or other specified deadline

5.6 All candidates, including external candidates and staff who are not considered internal staff members as defined in section 1 above, may be considered for any vacancy by the deadline indicated in the vacancy announcement. This provision, however, is subject to the following restrictions:

(a) Temporary staff who are appointed at the Professional level or above for less than one year under the Staff Rules and are placed on posts authorized for one year or longer may not apply for or be reappointed to their current post within six months of the end of their current service;

(b) Interns, consultants, individual contractors and gratis personnel may not apply for or be appointed to any post in the Secretariat within six months of the end of their current service. This restriction does not apply to associate experts appointed under the Staff Rules.

Section 6
Applications

6.1 Applications must be submitted in accordance with the instructions set out in the compendium, including use of the form provided. Wherever possible, applications should be submitted electronically.

6.2 Applications of candidates eligible to be considered at the 15-day mark but received before the 30-day mark shall nevertheless be transmitted for consideration to the department/office, provided that the head of department/office has not submitted to the central review body a proposal for one or more candidates eligible to be considered at the 15-day mark. Applications for a vacancy posted with a 60-day deadline from candidates eligible to be considered at the 30-day mark but received afterwards shall be transmitted with all the other applications received before the deadline.

6.3 All applications submitted online shall be acknowledged immediately, provided that the applicant supplies an e-mail address.

6.4 Staff members are encouraged to consider carefully all suitable vacancies in the compendium, as they are expected to move periodically to new positions. This is especially important for staff nearing the maximum occupancy period of the post they encumber.

6.5 Candidates may apply for several vacancies for which they feel qualified, and will be asked to indicate whether they wish to be considered for positions similar to those for which they are applying. Applying for a specific vacancy carries an undertaking to accept it, if offered.

6.6 At the time of application, staff members shall submit a copy of their last two performance appraisals. If there is a gap of six months or longer in the record of performance, or if no appraisal was completed within six months of the end of the preceding performance year, the staff member shall be deemed to have fully met performance expectations during the period for which there is no performance record or appraisal.

6.7 Applications shall be submitted to OHRM or the local personnel office, as indicated in the vacancy announcement. OHRM or the local personnel office shall transmit electronically to the department/office concerned at the 15-, 30- and 60-day marks the applications of candidates eligible to be considered at each of those dates. At the same time, OHRM or the local personnel office shall transmit the roster of pre-approved candidates eligible to be considered at the 15-, 30- or 60-day mark, as set out in section 9.3.[12]

Section 7
Consideration and selection

7.1 In considering candidates for posts up to and including at the D-1 level, programme managers must give first priority to lateral moves of candidates eligible to be considered at the 15-day mark under section 5.4. If no suitable candidate can be identified at this first stage, candidates eligible at the 30-day mark under section 5.5 shall be considered. Other candidates shall be considered at the 60-day mark, where applicable. For posts at the D-2 level, section 4 of ST/SGB/2009/2 shall apply.

7.2 In order to comply with the General Assembly's mandate to protect promotion prospects for staff recruited at the P-2 level through a competitive examination and to respect the principle that recruitment at the P-3 level is normally through competitive examination, consideration for vacancies at the P-3 level is subject to the following special rules:

(a) All internal candidates at the P-3 level applying for a lateral move at the 15-day mark, all internal candidates at the P-2 level applying for a promotion at the 30-day mark, as well as candidates from the National Competitive Examination roster at the P-2 and P-3 levels who are found by OHRM to possess the relevant professional experience must be fully considered against the applicable evaluation criteria. Such consideration must be supported by a documented record;

(b) Consideration of external candidates may take place only after the requirements under (a) have been met, subject to the provisions of section 9.2.

7.3 For positions in the General Service and related categories that are filled locally under staff rule 4.4, the recruitment of external candidates for posts at the G-5, S-3 and TC-4 levels and above shall be possible only after it has been

[12] As the deadline for vacancies in the General Service and related categories is normally 30 days, except as provided in section 4.5, applications for such vacancies and the roster of pre-approved candidates will normally be transmitted at the 15- and 30-day marks only.

established to the satisfaction of OHRM or the local personnel office concerned that there are no suitable internal candidates at the duty station.

7.4 The programme manager shall evaluate new candidates and roster candidates transmitted by OHRM or the local personnel office for consideration at the 15-, 30- or 60-day mark on the basis of criteria pre-approved by the central review body.

7.5 For candidates identified as meeting all or most of the requirements of the post, interviews and/or other appropriate evaluation mechanisms, such as written tests or other assessment techniques, are required. Competency-based interviews must be conducted in all cases of recruitment or promotion. Programme managers must prepare a reasoned and documented record of the evaluation of those candidates against the requirements and competencies set out in the vacancy announcement.

7.6 For each vacancy, the programme manager shall prepare a reasoned and documented record of the evaluation of the proposed candidates against the applicable evaluation criteria to allow for review by the central review body and/or decision by the head of the department/office.

7.7 For posts up to and including at the D-1 level, programme managers shall transmit their proposal for one candidate or, preferably, a list of qualified, unranked candidates to the appropriate central review body through the head of department/office after the 15-, 30- or 60-day mark. The head of department/office shall ensure that, in making the proposal, he or she has taken into account the Organization's human resources planning objectives and targets, especially with regard to geography and gender, and provide a certification to that effect to the central review body. There shall be no joint advisory body at the department or office level prior to the transmission of the proposal to the central review body. For posts at the D-2 level, section 4.2 of ST/SGB/2009/2 shall apply.

7.8 Should an eligible roster candidate be suitable for the vacancy, the programme manager may recommend his or her immediate selection to the head of department/office, without reference to the central review body, as provided in section 9.4.

Section 8
Central review bodies

The central review bodies shall review the proposal for filling a vacancy made by the department/office concerned to ensure that candidates were evaluated on the basis of the pre-approved evaluation criteria and/or that the applicable procedures were followed, in accordance with sections 5.1 to 5.6 of ST/SGB/2002/6.[13]

Section 9
Decision

9.1 The selection decision for posts up to and including at the D-1 level shall be made by the head of department/office when the central review body finds that the evaluation criteria have been properly applied and/or that the applicable procedures have been followed. The selection shall be made by the official having authority to make the decision on behalf of the Secretary-General when the central review body

[13] As may be amended or replaced by a new bulletin on the central review bodies.

finds that the evaluation criteria were improperly applied and/or that the applicable procedures were not followed, in accordance with the provisions of section 5.6 of ST/SGB/2002/6.[13] In all cases, the recommendations of the central review body shall be given due consideration. Recommendations for selection for posts at the D-2 level shall be made by the head of department/office for review by the Senior Review Group. The Senior Review Group shall provide its recommendation to the Secretary-General, who will make the selection decision. When the post to be filled involves significant functions in the management of financial, human and physical resources and/or information and communications technology, the executive or local personnel office shall inform OHRM of the proposed selection so that the approvals required by Secretary-General's bulletin ST/SGB/2005/7[14] may be obtained prior to selection.

9.2 When recommending the selection of candidates for posts up to and including at the D-1 level to the head of department/office, the programme manager shall support such recommendation by a documented record. The head of department/office shall select the candidate he or she considers to be best suited for the functions, having taken into account the Organization's human resources objectives and targets as reflected in the departmental human resources action plan, especially with regard to geography and gender, and shall give the fullest regard to candidates already in the service of the Organization. For posts in the Professional and higher categories subject to geographical distribution, if the head of department/office proposes to select an external candidate from an overrepresented Member State, the proposed selection decision must be justified to, and approved by, OHRM prior to selection of the candidate. If the head of a department/office who has not met the gender targets set out in the departmental action plan proposes to select a male candidate where an equally qualified female candidate exists, the proposed selection decision must be justified to, and approved by, OHRM. For vacancies at the P-3 level, prior to selection of an external candidate, that decision must be justified on the record to, and approved by, OHRM. When recommending the selection of candidates for posts at the D-2 level, section 4.2 of ST/SGB/2009/2 shall apply.

9.3 Candidates for posts up to and including at the D-1 level included in a list endorsed by a central review body other than the candidate selected for the specific position shall be placed on a roster of candidates pre-approved for similar functions, which shall be drawn from all duty stations for vacancies in the Professional category and above. The roster shall specify the candidates' eligibility for consideration at the 15-, 30- or 60-day mark, as appropriate. The roster shall be valid for one year after the first day of the month following the selection decision. In order to facilitate achievement of gender parity goals set by the General Assembly, female candidates shall remain on the roster for three years after the first day of the month following the selection decision.

9.4 Candidates for posts up to and including at the D-1 level included in the roster may be selected by the head of department/office for a subsequent vacancy, without reference to a central review body, after the programme manager has reviewed the applications of new candidates for a vacancy included in the compendium, together

[14] As may be amended or replaced by a new bulletin on the subject of designation of staff members performing significant functions in financial management, personnel management and general services administration.

with the pre-approved roster candidates transmitted by OHRM or the local personnel office, subject to the provisions of section 9.2.

9.5 All interviewed candidates who are not selected or placed on the roster shall be so informed by the programme managers.

Section 10
Notification and implementation of the decision

10.1 The executive office at Headquarters and the local personnel office at offices away from Headquarters shall inform the selected candidate of the selection decision. The executive office shall inform OHRM of the decision at the same time. For posts up to and including at the D-1 level, OHRM or the local personnel office shall place other candidates endorsed by the central review body on the roster, inform them of such placement and advise them that they may be selected from the roster for similar posts that may become available within the following year. Other candidates shall be advised of the outcome of the process through posting of the results on an electronic bulletin board.

10.2 The decision to select a candidate shall be implemented upon its official communication to the individual concerned. However, when an encumbered post has been included in the compendium after upward reclassification and an applicant other than the incumbent is selected, the decision shall be implemented only when a suitable post has been identified for the incumbent.

10.3 Candidates have the obligation to accept posts for which they have applied and have been selected, as provided for in section 6.5.

10.4 Selected staff members shall be released as soon as possible, and in any event no later than one month after the date on which the releasing office is notified of the selection decision, if the move is within the same duty station, and no later than two months if the move involves a change of duty station. If the selected candidate fails to take up the functions within the specified time frames for personal reasons or vacates the post within one year, the head of department/office may select another candidate from the list endorsed by the central review body with respect to the particular vacancy. If no such candidate is available, the head of department/office may select another candidate from the roster or decide to advertise the post in the compendium if no roster candidate is found to be suitable.

10.5 When the selection entails promotion to a higher level, the earliest possible date on which such promotion may become effective shall be the first day of the month following the decision, subject to the availability of the post and the assumption of higher-level functions.

Section 11
Placement authority outside the normal process

11.1 The Assistant Secretary-General for Human Resources Management shall have the authority to place in a suitable position the following staff members when in need of placement outside the normal process:

(a) Staff members who have not been selected after the maximum post occupancy period has expired and incumbents of posts reclassified upward for which an applicant other than the incumbent has been selected;

(b) Staff who return from mission detail after more than two years when the department responsible for the mission concerned has made every effort to place them;[15] and

(c) Staff affected by abolition of posts or funding cutbacks, in accordance with staff rule 9.6 (c) (i).

11.2 After determining the availability of a suitable position in consultation with the head of department/office and the staff member concerned, the Assistant Secretary-General for Human Resources Management shall decide on the placement, in accordance with staff regulation 1.2 (c), and so inform the appropriate central review body.

11.3 To expedite placement of successful candidates on the roster from the National Competitive Examination or G-to-P examinations, the Assistant Secretary-General for Human Resources Management shall have the authority to place those candidates in P-2 posts subject to geographical distribution that, after a period of three months, have not been filled with candidates successful in a competitive examination.

Section 12
Final provisions

12.1 The present administrative instruction shall enter into force on the date of its issuance.

12.2 Administrative instruction ST/AI/2006/3 and Amend.1 is hereby superseded.

12.3 The provisions of the present administrative instruction shall prevail over any inconsistent provisions contained in other administrative instructions currently in force.

(*Signed*) Angela **Kane**
Under-Secretary-General for Management

[15] Parent departments/offices are responsible for ensuring that the posts of staff who are on mission detail and who hold a permanent or long-term appointment are blocked for the return of these staff members, as currently provided in paragraph 7 of ST/AI/404.

Annex I

Responsibilities of the head of department/office

1. The head of department/office has the authority:

(a) To transfer staff laterally within his or her department/office;[a]

(b) To assign staff temporarily to a higher-level post that is vacant or temporarily vacant. For temporary vacancies expected to last for three months or longer, staff of the department/office concerned shall be informed of the temporary vacancy so as to give staff members the opportunity to express their interest in being considered.[b] Every effort must be made to limit temporary assignments to vacant posts to the shortest possible period, during which time the post must be filled under the procedures of this instruction;

(c) To make decisions on the selection of staff when the central review bodies are satisfied that the evaluation criteria were properly applied and/or the applicable procedures followed. When these conditions are not met, the decision is made by the official having authority to act in these matters on behalf of the Secretary-General, in accordance with the provisions of section 5.6 of ST/SGB/2002/6;[c]

(d) To select a roster candidate pre-approved for selection at the 15-, 30- or 60-day mark, without further reference to the central review bodies, on the recommendation of the programme manager, provided that the vacancy has been advertised, the new applications have been reviewed and the roster candidate is suitable for the vacancy.

In exercising his or her authority to select staff, the head of department/office shall select the candidate he or she considers to be best suited for the functions, having taken into account the Organization's human resources objectives and targets as reflected in the departmental human resources action plan, especially with regard to geography and gender, and shall give the fullest regard to candidates already in the service of the Organization. For posts with significant functions in the management of financial, human and physical resources, the head of department/office must obtain the approval required by ST/SGB/2005/7[d] before making a selection decision under (c) and (d) above. For posts in the Professional and higher categories subject to geographical distribution, if the head of department/office proposes to select an external candidate from an overrepresented Member State, the proposed selection decision must be justified to, and approved by, the Office of Human Resources

---

[a] The Under-Secretary-General for General Assembly Affairs and Conference Services has authority to transfer laterally language staff, including interpreters, translators, editors, verbatim reporters, proofreaders and production editors, up to and including those at the P-5 level, who serve in New York, Geneva, Vienna and Nairobi. This authority may be extended in future to language staff at the Economic Commission for Africa, the Economic Commission for Latin America and the Caribbean, the Economic and Social Commission for Asia and the Pacific and the Economic and Social Commission for Western Asia.

[b] See ST/AI/1999/17 entitled "special post allowance", as may be amended or replaced by a new instruction on the subject.

[c] As may be amended or replaced by a new bulletin on the central review bodies.

[d] As may be amended or replaced by a new bulletin on designation of staff members performing significant functions in the management of financial, human and physical resources, telecommunications and computer operations and in the area of safety and security.

Management (OHRM) prior to selection of the candidate. If the head of a department/office who has not met the gender targets set out in the departmental action plan proposes to select a male candidate where an equally qualified female candidate exists, the proposed selection decision must be justified to, and approved by, OHRM. For vacancies at the P-3 level, prior to selection of an external candidate, that decision must be justified on the record to, and approved by, OHRM.

2. The head of department/office is accountable to the Secretary-General for the manner in which the selection process is conducted in his or her department/office, and for the progress made towards achieving the targets for geography and gender balance set out in the departmental human resources action plan. The head of department/office is also accountable to the Secretary-General through the annual performance management plan. Authority to make a selection decision with respect to a particular vacancy is withdrawn when a central review body finds that the evaluation criteria have not been properly applied and/or the applicable procedures have not been followed and refers the case to the Under-Secretary-General for Management or the Assistant Secretary-General for Human Resources Management.

3. In the discharge of his or her responsibility to deliver mandated programmes and activities, the head of department/office works in close cooperation with the programme managers and other responsible officials in the department/office concerned to ensure that:

(a) Appropriate mechanisms are in place to capitalize on the strengths of the staff and to offer them suitable skills development opportunities;

(b) The candidates best suited for the functions are selected for vacancies in strict compliance with the requirements of the new system, having taken into account the Organization's human resources objectives and targets as reflected in the departmental human resources action plan, especially with regard to geography and gender, and after giving the fullest regard to candidates already in the service of the Organization;

(c) Appropriate mechanisms are in place to preserve institutional capacity, taking into account the mobility requirement for all staff members.

4. The head of department/office is responsible for collaborating with other heads of departments/offices in the same occupational network to support the achievement of human resources management plans and encourage multidisciplinary approaches.

Annex II

Responsibilities of the programme manager

1. For vacancies that are not filled laterally from within the department/office and that are expected to last for at least one year, the programme manager, as promptly as possible, and with the assistance of the executive or local personnel office:

(a) Determines whether a generic job profile is available from the database of generic job profiles maintained by the Office of Human Resources Management (OHRM) or, if not, uses an existing individual job description previously classified. If a previously classified individual job description is used and there have been significant changes in the functions of the post since it was last classified, the programme manager obtains a new classification of the individual job description;

(b) Prepares an announcement of the vacancy based on the classified functions of the post;

(c) Prepares evaluation criteria that must be objective and related to the functions of the post and must reflect the relevant competencies, unless a central review body has previously approved the evaluation criteria for a position with similar functions at the same level;

(d) Transmits to OHRM or the local personnel office the text of the vacancy announcement for inclusion in the compendium, together with the text of the proposed evaluation criteria for submission to the appropriate central review body for approval, unless the programme manager indicates that the evaluation will be made on the basis of criteria already approved by a central review body for a similar post. Posts may be advertised immediately after review by OHRM, but the evaluation process may not start until the proposed evaluation criteria have been approved by the appropriate central review body;

(e) Ensures that interviews and/or other appropriate evaluation mechanisms, such as written tests or other assessment exercises, are conducted for appointment and promotion at the 15-, 30- and 60-day marks;

(f) Evaluates, in accordance with section 7 of this instruction, the candidates, including roster candidates, who are eligible to be considered at the 15-, 30- or 60-day mark, on the basis of criteria pre-approved by the central review bodies;

(g) If a roster candidate is suitable for the vacancy, submits to the head of department/office a selection proposal that is reasoned and supported by a documented record and that has taken into account the human resources targets set out in the departmental human resources action plan, especially with regard to geography and gender;

(h) If there is no suitable roster candidate, submits to the central review bodies, through the head of department/office, a selection proposal that is reasoned and supported by a documented record of evaluation of the proposed candidates against the applicable evaluation criteria.

2. Programme managers must start the process early for anticipated vacancies, and as soon as an unanticipated vacancy occurs. To expedite the process, they are encouraged to conduct as many steps as possible quickly and simultaneously.

3. For posts up to and including at the D-1 level, programme managers propose, through the head of department/office, one candidate or, preferably, a list of qualified, unranked candidates found suitable for the functions. If a central review body finds that the proposed candidates were evaluated on the basis of the pre-approved evaluation criteria and/or that the applicable procedures were followed, the programme manager recommends to the head of department/office selection of one of these candidates, supporting such recommendation by a documented record. Should a candidate recommended for a professional post subject to geographical distribution be an external candidate from an overrepresented country, the documented record must be submitted for approval to OHRM. Should the recommended candidate be a male candidate where an equally qualified female candidate exists and the gender targets set out in the department action plan have not been met, the documented record must be submitted for approval to OHRM. Once a candidate has been selected, the others are placed on a roster of pre-approved candidates. The roster is valid for one year for male candidates after the first of the month following the selection decision, and for three years for female candidates. For P-3 vacancies, should the programme manager recommend the selection of an external candidate, the documented record to substantiate that recommendation must be provided for approval to OHRM prior to selection. For posts at the D-2 level, section 4.2 of ST/SGB/2009/2 shall apply.

4. Programme managers may delegate the performance of their functions under this instruction to officials who will act under their supervision, in which case programme managers will remain fully accountable to the head of department/office for the manner in which these functions have been discharged.

5. Programme managers must inform all interviewed candidates who have not been selected of their non-selection and/or placement on the roster.

Annex III

Responsibilities of the staff member/applicant

1. All staff members are required to move periodically to new positions, organizational units, duty stations[a] or occupational groups.

2. Staff members are expected to review regularly the compendium of vacancies, preferably in its electronic version, to decide on suitable vacancies for which they should apply. This is especially important for staff nearing maximum period of occupancy of the post they encumber.

3. Staff members are reminded that post occupancy limits will be set for each job, initially at five years for posts up to and including the P-5 level, and at six years for posts above that level. Longer or shorter maximum periods may be set by the Office of Human Resources Management (OHRM) in consultation with the relevant head of department/office when the potential for mobility is severely limited due to the nature of the functions or the scarcity of posts.

4. Staff members may apply for a vacancy any time before the deadline for applications expires, but are encouraged to submit their applications as early as possible so that they may be considered at the 15- or 30-day mark, depending on their eligibility as defined in sections 5.4 and 5.5 of this instruction.

5. Applications must be submitted in accordance with section 6 of this instruction.

6. Application for a vacancy carries an undertaking to accept it, if offered.

7. Staff members in the Professional category are expected to keep in mind the mobility requirement contained in section 5.3 of this instruction for promotion to the P-5 level when planning for their career development.

[a] Except for locally recruited staff, for whom movement is limited to the duty station where they have been appointed.

Annex IV

Responsibilities of the Office of Human Resources Management, executive offices and local personnel offices

1. The Office of Human Resources Management (OHRM) is responsible and accountable for:

(a) Establishing policies, rules and guidelines for the system and providing authoritative interpretations;

(b) Taking the necessary measures to ensure compliance with applicable procedures throughout the Secretariat, including targeted training of participants in the process and monitoring of that process;

(c) Supporting electronic tools for the system;

(d) Providing information on conditions of service in various duty stations;

(e) Monitoring the operation of the system and identifying improvements or corrective action that may be necessary from time to time;

(f) Setting post occupancy time limits, in consultation with heads of departments/offices;

(g) Establishing a databank of generic job profiles and maintaining a databank of vacancy announcements and pre-approved evaluation criteria;

(h) Reviewing, before inclusion in the compendium, the vacancy announcements submitted by programme managers for vacancies posted from New York to ensure consistency with the generic job profile or the classified job description;

(i) Reviewing, before transmission to the appropriate central review body, the evaluation criteria submitted by programme managers to ensure that these criteria are objective, related to the functions of the post and reflect the relevant competencies. When a programme manager opts to use evaluation criteria previously approved by a central review body for a similar post, OHRM is responsible for ensuring that these criteria are consistent with the vacancy announcement;

(j) Transmitting to the department/office concerned the applications of candidates eligible to be considered at the 15-, 30- and 60-day marks;

(k) Transmitting roster candidates pre-approved for similar functions to the department/office concerned at the 15-, 30- or 60-day mark;

(l) Advising heads of departments/offices, programme managers, central review bodies (as ex officio members of those bodies) and staff members on the proper application of the new system;

(m) Placing on the roster candidates endorsed by the central review bodies who were not selected for the advertised vacancy;

(n) Maintaining the roster of candidates who have been endorsed by a central review body in New York for a particular vacancy but were not selected by the head of department/office for that vacancy;

(o) Exercising authority under section 11 of this instruction for the placement of staff outside the normal process in consultation with heads of departments/offices and the staff member concerned;

(p) Reviewing for approval, proposed selection decisions of heads of departments/offices for an external candidate from an overrepresented Member State, or a male candidate where an equally qualified female candidate exists, and for an external candidate for P-3 vacancies;

(q) Placing successful roster candidates from the National Competitive Examination and G-to-P examinations in P-2 posts subject to geographical distribution that, after a period of three months, have not been filled with candidates successful in a competitive examination.

2. The executive offices at Headquarters are responsible and accountable for:

(a) Assisting programme managers and heads of departments/offices in fulfilling their responsibilities under this instruction;

(b) Requesting approval required by ST/SGB/2005/7 prior to the selection of a candidate by the head of department/office when the post to be filled involves significant functions in the management of financial, human and physical resources;

(c) Informing the selected candidate of the selection decision;

(d) Recording staff movements qualifying as credits for mobility purposes in the Integrated Management Information System data field created for that purpose, subject to approval by OHRM.

3. Local personnel offices at duty stations away from Headquarters are responsible and accountable for:

(a) Performing the tasks for which executive offices at Headquarters are responsible, as set out in paragraph 2 above;

(b) Performing at the duty station the tasks for which OHRM is responsible in New York, as set out in paragraph 1 (h) to (n) above.